

**Investor Complaint Data – Legacy Custodians Advisory Private Limited (Investment Advisers)**

**Data for the month ending: November 30, 2025**

| Sr. No | Received from               | Pending at the end of last month | Received | Resolved* | Total Pending # | Pending Complaints > 3months | Average Resolution time^ (in days) |
|--------|-----------------------------|----------------------------------|----------|-----------|-----------------|------------------------------|------------------------------------|
| 1      | Directly from the Investors | 0                                | 0        | 0         | 0               | 0                            | NA                                 |
| 2      | SEBI (SCORES)               | 0                                | 0        | 0         | 0               | 0                            | NA                                 |
| 3      | Other Sources (if any)      | 0                                | 0        | 0         | 0               | 0                            | NA                                 |
|        | Grand Total                 | 0                                | 0        | 0         | 0               | 0                            | NA                                 |

\*Inclusive of complaints of previous month resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of Monthly Disposal of Complaints**

| Sr. No | Month          | Carried forward from previous month | Received | Resolved* | Pending # |
|--------|----------------|-------------------------------------|----------|-----------|-----------|
| 1      | April 2025     | 0                                   | 0        | 0         | 0         |
| 2      | May 2025       | 0                                   | 0        | 0         | 0         |
| 3      | June 2025      | 0                                   | 0        | 0         | 0         |
| 4      | July 2025      | 0                                   | 0        | 0         | 0         |
| 5      | August 2025    | 0                                   | 0        | 0         | 0         |
| 6      | September 2025 | 0                                   | 0        | 0         | 0         |
| 7      | October 2025   | 0                                   | 0        | 0         | 0         |
| 8      | November 2025  | 0                                   | 0        | 0         | 0         |
|        | Grand Total    | 0                                   | 0        | 0         | 0         |

\*Inclusive of complaints of previous month resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

**Legacy Custodians Advisory Private Limited**

**Registered Address:** Suite 623, Tower 2A North Annex, The Business Quarter, One World Centre, Senapati Bapat Marg, Lower Parel, Mumbai - 400013.

**CIN:** U66309MH2024PTC424520

**SEBI Reg. No.** INA000019567 (Valid until cancelled/suspended by SEBI)

Non-Individual Investment Advisor

**Principal Officer:** Mr. Sharadkumar Mendon

**Email:** principalofficer@thelegacycustodians.com

**Enlistment number as Investment Adviser (IA)**

**on BSE Ltd:** 2206

+91-9326916220

info@thelegacycustodians.com

www.thelegacycustodians.com

**Compliance & Grievance Officer:**

Mr. Viral Gadia

compliance@thelegacycustodians.com

**SEBI Address:** SEBI Bhavan BKC, Plot No.C4-A, 'G' Block Bandra-Kurla Complex, Bandra (East), Mumbai – 400051.



#### Trend of Annual disposal of complaints

| Sr. No | Year      | Carried Forward from previous year Received | Received | Resolved* | Pending# |
|--------|-----------|---|----------|-----------|----------|
| 1      | 2024-2025 | 0   | 0        | 0         | 0        |
| 2      | 2025-2026 | 0   | 0        | 0         | 0        |

Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year